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11 ***and Ameriprise Financial Services, Inc.***

8 UNITED STATES DISTRICT COURT
9 NORTHERN DISTRICT OF CALIFORNIA
10 SAN JOSE DIVISION

11 XIMPLEWARE CORP.,
12 Plaintiff,

13 v.

14 VERSATA SOFTWARE, INC. F/K/A
15 TRILOGY SOFTWARE, INC.; TRILOGY
16 DEVELOPMENT GROUP, INC.;
17 AMERIPRISE FINANCIAL, INC.;
18 AMERIPRISE FINANCIAL SERVICES, INC.;
19 AND AUREA SOFTWARE, INC A/K/A
20 AUREA, INC.,
21 Defendant.

Case No. 13-cv-05161-PSG

DEFENDANTS AMERIPRISE FINANCIAL,
INC. AND AMERIPRISE FINANCIAL
SERVICES, INC.'S CERTIFICATION AND
NOTICE OF INTERESTED PARTIES

21 Pursuant to Rule 7.1 of the Federal Rules of Civil Procedure and Local Rule 3-16, Defendants
22 Ameriprise Financial, Inc. and Ameriprise Financial Services, Inc. for their disclosure statements,
23 state that Ameriprise Financial, Inc. and Ameriprise Financial Services, Inc. are wholly owned by
24 AMPF Holding Corporation. Ameriprise Financial, Inc. and Ameriprise Financial Services, Inc. are
25 not aware of any other publicly traded company owning 10% or more of its stock.
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1 Respectfully submitted November 22, 2013.

2 /s/ Gregory S. Tamkin

3 Gregory S. Tamkin

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13 ***and Ameriprise Financial Services, Inc.***

CERTIFICATE OF SERVICE

On November 22, 2013, I caused the foregoing document, titled DEFENDANTS AMERIPRISE FINANCIAL, INC. AND AMERIPRISE FINANCIAL SERVICES, INC.'S CERTIFICATION AND NOTICE OF INTERESTED PARTIES, to be electronically filed with the court, which will cause a Notice of Electronic Filing to be automatically generated by the court's electronic filing system and sent to all parties in this case. Pursuant to General Order No. 45, Sections II.G. and IX, the Notice of Electronic Filing when e-mailed to the email addresses of record for counsel in the case constitutes service on the receiving parties.

/s/ Gregory S. Tamkin
Gregory S. Tamkin